(1) Publication number:

0 584 848 A1

# **EUROPEAN PATENT APPLICATION**

(1) Application number: 93202104.1

(51) Int. Cl.5: **H04L 29/06**, H04L 1/00

② Date of filing: 19.07.93

Priority: 21.08.92 NL 9201498

Date of publication of application: 02.03.94 Bulletin 94/09

Designated Contracting States:

AT BE CH DE DK ES FR GB GR IE IT LI LU NL

PT SE

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- Method for testing a protocol, and system for applying the method.
- The testing of a protocol (such as the generation of all possible permutations) is a simple matter if the protocol shows completely deterministic or completely non-deterministic behaviour. If the protocol shows a combined behaviour, the test is often based on an inefficient "trial and error" method, whose completeness, moreover, is not verifiable. The invention provides a method which, based on two submethods, tests the protocol in an efficient manner (one for determining whether an event is permitted to occur and one for forming sequences of allowed events, the one regularly consulting the other).

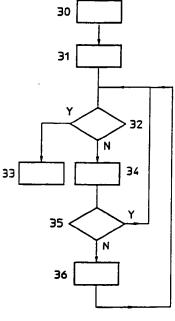


Fig. 3

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### A Background to the invention

The invention relates to a method for testing a protocol in which events occur which have defined relationships with one another. A method of this type is generally known. If the protocol shows completely deterministic behaviour (which means that all possible sequential orders of events which may occur are predictable), the testing of the protocol comprises the generation, on the basis of all predictable possible sequential orders, of sequences of allowed successive events. If the protocol shows completely non-deterministic behaviour, testing of the protocol comprises the writing out, in a simple manner, of the completely non-deterministic behaviour (for example by writing out in terms of all permutations in protocol behaviour) and the subsequent generation, on the basis of all possible sequential orders, of sequences of allowed successive events.

A protocol which shows both deterministic and non-deterministic behaviour is considerably less simple to test, in particular as the number of events which may occur increases and as the complexity of the relationships between these events increases. Known methods, for protocols like these, use so-called "trial and error" methods which are of course time-consuming and thus not very efficient, and whose completeness, moreover, cannot be verified.

### B Summary of the invention

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The object of the invention is, inter alia, to provide a method of the type mentioned in the preamble, which tests protocols efficiently, independent of the fact whether these show completely deterministic, completely non-deterministic or both deterministic and non-deterministic behaviour.

To this end, the method according to the invention is characterised in that

- the method comprises a first submethod for determining whether an event y is permitted to occur by
  - checking, if a further event x has not occurred, whether a relationship between the event x and the
    event y implies that the event y is not permitted to occur before the event x has occurred, in which
    case the combination is not allowed,
  - checking, if a further event x has occurred, whether a relationship between the event x and the event y implies that the event y is not permitted to occur after the event x has occurred and whether a relationship between the event y and the event x implies that the event x is not permitted to precede the event y, in which cases the combination is not allowed,
  - establishing, in all other cases, that the combination is allowed and repeating the first submethod in order to determine whether the event y is permitted to occur in combination with another further event,
- the method comprises a second submethod for finding a subsequent allowed event q in the case of an event p by
  - defining the event p as being an event which has occurred and appending it to a sequence of allowed events which have occurred,
  - repeating, if the event q has already occurred, the second submethod in order to find another subsequent allowed event,
  - checking, if the event q has not occurred, by applying the first submethod whether the event q is permitted to occur in combination with the event p, and by
    - appending, in the case of an allowed combination, the event q to a sequence of allowed events
      which have occurred and repeating the second submethod in order to find a subsequent allowed
      event in the case of the event q,
    - repeating, in the case of a non-allowed combination, the second submethod in order to find another subsequent allowed event in the case of the event p, and
  - redefining the event p as being an event which has not occurred and removing it from the sequence
    of allowed events which have occurred,
  - the method finds all possible sequences of allowed events by
    - applying the first submethod to every possible first event, and
    - applying the second submethod to all possible first events found.

The invention is based on the inventive insight that the method can be split into two submethods: the first for determining whether an event is permitted to occur, and the second for forming sequences of allowed vents, the first submethod b ing consulted regularly, which second method is moreover of recursive type.

The first submethod is used to perform a check whether the event y is permitted to occur, given the further event x which has not yet occurred or indeed has already occurred: if the event x has not yet

occurred, a check is performed whether a relationship between the event x and the event y implies that the event y is not permitted to occur before the event x has occurred, and if the event x has indeed already occurred, a check is performed whether a relationship between the event x and the event y implies that the event y is not permitted to occur after the event x has occurred and whether a relationship between the event y and the event x implies that the event x is not permitted to have preceded the event y. In all these cases, the combination is not allowed, and in all other cases the combination is indeed allowed and this first submethod is repeated for another further event.

The second submethod is used to search for the next allowed event q in the case of the event p, which at the start of the second submethod is defined as being an event which has occurred and is appended to a sequence of allowed events which have occurred: if the event q has already occurred, the second submethod is repeated in order to find another subsequent allowed event, and if the event q has not yet occurred, a check is performed on the basis of the first submethod whether the event q is permitted to occur given the event p, in the case of an allowed combination the event q being appended to a sequence of allowed events which have occurred and the second submethod being repeated in order to find a subsequent allowed event in the case of the event q (recursivity), and, in the case of a non-allowed combination, the second submethod being repeated in order to find another subsequent allowed event in the case of the event p. The second submethod terminates with the redefinition of the event p as being an event which has not occurred and the removal of the event p from the sequence of allowed events which have occurred.

The method according to the invention finds all the possible sequences of allowed events by applying the first submethod to every possible first event (a check, therefore, being performed whether the event y is permitted to occur given, successively, all the other events which have not yet occurred, in which case the event y is a possible first event found) and by applying the second submethod to all possible first events found.

In a first embodiment, the method according to the invention is characterised in that the method comprises a third submethod for determining whether a sequence of allowed events is complete, a condition specifying, of each event, whether the occurrence of this event is or is not mandatory, by

- checking, if an arbitrarily chosen event v has not occurred, whether a condition relating to the event v implies that the event v must occur, in which case the sequence is not complete, and in the other case, if the arbitrarily chosen event v has not occurred, by
  - checking, if a further event w has not occurred, whether a relationship between the event v and the
    event w and a relationship between the event w and the event v imply that at least one of the two
    events v and w must occur, in which case the sequence is not complete,
  - checking, if the further event w has occurred, whether a relationship between the event v and the event w and a relationship between the event w and the event v imply that, if the event w has occurred, the event v must occur likewise, in which case the sequence is not complete, and
  - repeating the third submethod with another further event, and
- repeating, if the arbitrarily chosen event v has occurred, the third submethod with another arbitrarily chosen event in order to determine whether a sequence of allowed events is complete.

The third submethod is used to perform a check whether a sequence of allowed events is complete. In doing so it is necessary for a condition of each event to specify whether the occurrence of said event is or is not mandatory. If an arbitrarily chosen event v has not occurred, a check is carried out whether a condition relating to the event v implies that the event v must occur, in which case the sequence is not complete, a check being carried out in the other case, if a further event w has not occurred, whether a relationship between the event v and the event w and a relationship between the event w and the event v imply that at least one of the two events v and w must occur, in which case the sequence is not complete, a check being carried out, if the further event w has occurred, whether a relationship between the event v and the event v imply that, if the event w has occurred, the event v must occur likewise, in which case the sequence is not complete, and in all other cases the third submethod being repeated with another further event, and if the arbitrarily chosen event v has indeed occurred, the third submethod is repeated with another arbitrarily chosen event in order to determine whether a sequence of allowed events is complete.

The third submethod can therefore be used to determine whether a sequence is complete.

The invention further r lates to a system for applying the method.

A further object of the invention is, inter alia, to provide a system of the abovementioned type, which tests protocols afficiently, independent of the fact whether these show completely deterministic, completely non-deterministic or both deterministic and non-deterministic behaviour.

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To this end, the system according to the invention is characterised in that the system is provided with means for storing

- at least one event code which is representative for an event which may occur,
- at least one status code which is representative for a status of this event,
- at least one relationship code which is representative for a relationship between this event and another
- at least one combination code which is representative for the allowedness of a combination of events, in which the system, for determining whether an event y is permitted to occur, is designed for an analysis of a first type by
  - disallowing, in the case of a status code related to a further event x in one sense and a relationship code related to the events x and y of a first type, the combination and assigning a negative combination code,
  - disallowing, in the case of a status code related to a further event x in another sense and a relationship code related to the events x and y of a second type and a relationship code related to the events y and x of a third type, the combination and assigning a negative combination code,
  - allowing, in all other cases, the combination and assigning a positive combination code and repeating the analysis of a first type for determining whether the event y is permitted to occur in combination with another further event,

in which the system, for finding a subsequent allowed event q in the case of an event p, is designed for an analysis of a second type by

- assigning a status code in another sense to the event p and appending the event p to a sequence of allowed events which have occurred,
- repeating, in the case of a status code in another sense related to event q, the analysis of a second type for finding another subsequent allowed event,
- performing, in the case of a status code in one sense related to event q, an analysis of a first type for determining whether the event q is permitted to occur in combination with the event p and by
  - appending, in the case of a positive combination code, the event q to a sequence of allowed events which have occurred and performing an analysis of a second type for finding a subsequent allowed event in the case of the event q, and
  - performing, in the case of a negative combination code, an analysis of a second type for finding another subsequent allowed event in the case of the event p,
  - assigning a status code in one sense to the event p and removing the event p from a sequence of allowed events which have occurred,

in which the system, for finding all possible sequences of allowed events, is designed for

- performing an analysis of a first type for every possible first event,
- performing an analysis of a second type for all possible first events found.

In this context, event codes each represent an event which may occur, and status codes each represent the status of such an event (a status code in one sense corresponds, for example, to non-occurrence so far, and a status code in another sense corresponds to earlier occurrence of the event). Furthermore, 40 / relationship codes each represent a relationship between an event and another event (a relationship code of the first type relating to a relationship between the event x and the event y corresponds, for example, to the event y not being permitted to occur before the event x has occurred, a relationship code of the second type relating to a relationship between the event x and the event y corresponds, for example, to the event y not being permitted to occur after the event x has occurred, and a relationship code of the third type 45 , relating to a relationship between the event y and the event x corresponds, for example, to the event x not being permitted to precede the event y) and combination codes each represent the allowedness or nonallowedness of a combination of events (a positive combination code corresponds, for example, to the allowedness of a combination, and a negative combination code to the non-allowedness). The analysis of a first type in this context in fact relates to the first submethod, and the analysis of a second type then relates to the second submethod).

In a first embodiment, the system according to the invention is characterised in that the system is provided with means for storing at least one occurrence code which is representative for the mandatory occurrence of an event, which system, for determining whether a sequence of allowed events is complete, is designed for an analysis of a third type by

establishing, in the case of a status code, related to an arbitrarily chosen event v, in one sense and a positive occurr nce code related to the arbitrarily chosen event v, that a sequence is incomplete and by, in the case of a negative occurrence code related to the arbitrarily chosen event v and of a status code, related to the arbitrarily chosen event v, in one sense

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- establishing, in the case of a status code, related to a further event w, in one sense and a relationship code, related to the events v and w, of a fourth type and a relationship code, related to the events w and v, of a fifth type, that a sequence is incomplete,
- establishing, in the case of a status code, related to a further event w, in another sense and a relationship code, related to the events v and w, of a sixth type and a relationship code, related to the events w and v, of a seventh type, that a sequence is incomplete, and
- subsequently performing an analysis of a third type with another further event w, and
- performing, in the case of a status code, related to an arbitrarily chosen event v, in another sense, an
  analysis of a third type with another arbitrarily chosen event to determine whether a sequence of
  allowed events is complete.

In this context, occurrence codes each represent a condition defining whether the occurrence of an event is mandatory or not (a positive occurrence code corresponds, for example, to the event being required to occur and a negative occurrence code to the event not absolutely being required to occur). Furthermore, the relationship codes each represent the relationship between an event and another event (a relationship code of the fourth type relating to a relationship between the event v and the event w and a relationship code of the fifth type relating to a relationship between the event w and the event v correspond, for example, to at least one of the events v and w being required to occur, a relationship code of the sixth type relating to a relationship between the event v and the event w and a relationship code of the seventh type relating to a relationship between the event v and the event v correspond, for example, to the event v being required to occur if the event w has already occurred). The analysis of a third type in this context in fact relates to the third submethod.

### C Reference

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### D Illustrative embodiment

The invention will be explained in more detail with reference to an illustrative embodiment depicted in the figures, in which:

Figure 1 shows a flow chart of the first submethod of the method according to the invention,

Figure 2 shows a flow chart of the second submethod of the method according to the invention,

Figure 3 shows a flow chart of the method according to the invention, and

Figure 4 shows a flow chart of the third submethod of an embodiment of the method according to the invention.

In the flow chart depicted in Figure 1, the boxes have the following meaning:

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	box	meaning
5	1	the first submethod is applied to an event y in order to determine whether the event y is permitted to occur
10	2	is there no further event x not equal to the event y left
15		in store? if yes: goto box 3
20		if no: goto box 4
20	3	the first submethod is terminated positively
25	4	an event x still in store is selected
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	5	has this event x occurred?
		if yes: goto box 8
5		if no: goto box 6
	6	does a relationship between the event x and the event
10		y imply that the event y is not permitted to occur
		before the event x has occurred?
15		if yes: goto box 13
		if no: goto box 7
20	7	the event y is permitted to occur before the event x,
		goto box 2
25	8	does a relationship between the event x and the event
		y imply that the event y is not permitted to occur after
30		the event x has occurred?
		if yes: goto box 12
		if no: goto box 9
35		
	9	does a relationship between the event y and the event
40		x imply that the event x is not permitted to precede
40		the event y?
		if yes: goto box 11
45		if no: goto box 10
	10	the event $y$ is permitted to occur after the event $x$ ,
50		goto box 2

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	11	the event x is not permitted to precede the event y,
		goto box 14
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	12	the event y is not permitted to occur after the event x,
10		goto box 14
	13	the event y is not permitted to occur before the event
15		x, goto box 14
20	14	the first submethod is terminated negatively

The first submethod of the method according to the invention as depicted in the flow chart of Figure 1 proceeds as follows. When it is applied to the event y (box 1) a check is first carried out whether there is no further event x not equal to the event y left in store (box 2). If that is so, the first submethod is terminated positively (box 3) which means that the event y is permitted to occur. If there is at least one further event x in store, such an event x is chosen (box 4), whereafter a check is carried out whether this event x has occurred (box 5). If the event x has occurred, a check is carried out whether a relationship between the event x and the event y implies that the event y is not permitted to occur after the event x has occurred (box 8), in which case it is found that the event y is not permitted to occur after the event x (box 12) and the first submethod is terminated negatively (box 14). This means that the event y is not permitted to occur. In the other case, a check is carried out whether a relationship between the event y and the event x implies that the event x is not permitted to precede the event y (box 9), in which case it is found that the event x is not permitted to precede the event y (box 11) and the first submethod is terminated negatively (box 14). In the other case it is found that the event y is permitted to occur after the event x (box 10), whereupon a check is again carried out whether no further event x not equal to the event y is left in store (box 2), etc. If the event x has not occurred, a check is carried out whether a relationship between the event x and the event y implies that the event y is not permitted to occur before the event x has occurred (box 6), in which case it is found that the event y is not permitted to occur before the event x (box 13) and the first submethod is terminated negatively (box 14). In the other case it is found that the event y is permitted to occur before the event x (box 7), whereupon a check is again carried out whether no further event x not equal to the event y is left in store (box 2), etc.

In the flow chart depicted in Figure 2, the boxes have the following meaning:

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	box	meaning
5	20	the second submethod is applied to an event p in order to find a subsequent allowed event q in the case of the event p
15	21	the event p is defined as an event which has occurred and is appended to a sequence of allowed events which have occurred
20	22	in there is no success to both borners and the
25	22	is there is no event q which has not yet occurred and
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<b>5</b> 5		

		is not equal to the event p left in store?
		if yes: goto box 23
5		if no: goto box 24
10	23	the event p is redefined as an event which has not
		occurred, and is removed from a sequence of allowed
15		events which have occurred, and the second
75		submethod is terminated
		••
20	24	an event q still in store is selected
25	25	the first submethod is applied with $y = q$
	26	is the event q allowed?
30	•	if yes: goto box 27
		if no: goto box 22
35		
	27	the second submethod is applied to the event q in
		order to find a subsequent allowed event in the case of
40		the event q

The second submethod of the method according to the invention as depicted in the flow chart of Figure 2 proceeds as follows. When it is applied to the event p (box 20), the event p is first defined as an event which has occurred and is appended to a sequence of allowed events which have occurred (box 21). A check is then carried out whether there is no event q which has not yet occurred and which is not equal to the event p left in store (box 22). If this is so, the event p is redefined as an event which has not occurred and is removed from a sequence of allowed events which have occurred, and the second submethod is terminated (box 23), which means that no subsequent allowed event q in the case of event p has been found. If there is at least one event q which has not occurred and which is not equal to the event p left in store, this event q still in store is selected (box 24), and the first submethod depicted by means of the flow chart of Figure 1 is applied to the event q (ie. y = q, box 25). Using this procedure thus determines whether the event q is permitted to occur or not, given the event p which has already occurred (and possible v nts which have occurr d arlier and which already form an allowed combination with the event p, as a result of the recursivity of the s cond submethod), in other words whether the sequence (terminating with) p,q is a sequence of allowed ev nts. If the event q is not allowed, a check is again carried out whether there is no vent q which has not y t occurred and which is not equal to the event p left in store (box 22),

and if the event q is indeed allowed, the second submethod is applied to the event q in order to find a subsequent allowed event in the case of the event q (this thus shows the recursivity of the second submethod). Thus the second submethod is used to find all possible subsequent allowed events q in the case of the event p, and thus all the sequences of allowed events are therefore completed which start with or (as a result of the recursivity) are continued with the event p.

In the flow chart depicted in Figure 3, the boxes have the following meaning:

box	meaning
30	the method according to the invention is applied
31	each event is defined as an event which has not occurred
32	is there no event left in store? if yes: goto box 33 if no: goto box 34
33	the method according to the invention is terminated
34	the first submethod is applied to an event which has not yet occurred
35	is this event not permitted to occur as a first event? if yes: goto box 32 if no: goto box 36
36	the second submethod is applied to said first event, goto box 32

The method according to the invention (box 30) as depicted in the flow chart of Figure 3 proceeds as follows. Each event is defined as an event which has not occurred (box 31). A check is then carried out whether there is no event left in store (box 32). If this is so, the method according to the invention is terminated (box 33), and if this is not so, an event which has not yet occurred is selected, and the first submethod is applied to said chosen event which has not yet occurred (box 34). By applying the first submethod it is then determined whether this event which has not yet occurred is or is not permitted to occur as a first event of a sequence of events. If this is not permitted, a check is again carried out whether there is no event which has not yet occurred left in store (box 32), etc. If the event which has not yet occurred is permitted to occur as a first event, the second submethod is applied to said first event (box 36), by means of which then all sequences of allowed events starting with said first event are found. A check is then again carried out whether there is no event which has not yet occurred left in store (box 32), etc. Ultimately, all the sequences of allowed events will be found by means of the method according to the invention.

In the flow chart depicted in Figure 4, the boxes have the following meaning:

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	box	meaning
<b>'</b> y	40	the third submethod is applied
	41	is there no event v left in store?  if yes: goto box 42  if no: goto box 43
20	42	the third submethod is terminated positively
25	43	an event v still in store is selected
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	44	has said event v occurred?
		if yes: gota box 41
5		if no: goto box 45
10	45	does a condition relating to the event v imply that this
		event must occur?
		if yes: goto box 46
15		if no: goto box 47
20	46	the third submethod is terminated negatively
20		
	47	is there no further event w not equal to the event v
25		left in store?
		if yes: goto box 41
		if no: goto box 48
30		
	48	an event w still in store is selected
35		
33	49 -	has said event w occurred?
		if yes: goto box 52
40		if no: goto box 50
	50	does a relationship between the event v and the event
45		w imply that at least one of the two events v and w
		must occur?
50		if yes: goto box 54
J0		if no: goto box 51

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	51	does a relationship between the event w and the event
		v imply that at least one of the two events v and w
5		must occur?
		if yes: goto box 54
10		if no: goto box 47
	52	does a relationship between the event v and the event
15		w imply that, if the event w has occurred, the event v
		must likewise occur?
20		if yes: goto box 54
		if no: goto box 53
25	53	does a relationship between the event w and the event
	33	v imply that, if the event w has occurred, the event v
30		must likewise occur?
		if yes: goto box 54
		if no: goto box 47
35		
	54	the third submethod is terminated negatively

the third submethod is terminated negatively

The third submethod of the method according to the invention as depicted in the flow chart of Figure 4 proceeds as follows. The third submethod for determining whether a sequence of allowed events is complete (box 40) is applied. First of all, a check is carried out whether there is no event v left in store (box 41). If that is so, the third submethod is terminated positively (box 42), which means that a complete 45 , sequence has been found. If, on the other hand, an event v is still in store, said event v is chosen (box 43) and a check is carried out whether or not said event v has occurred (box 44). If that is so, a check is again carried out whether there is no event v left in store (box 41) etc. If the event v has not occurred, a check is carried out whether a condition relating to the event v implies that the latter must occur (box 45), in which case the third submethod is terminated negatively (box 46). This means that a sequence of allowed events has been found which is not yet complete. If said condition does not imply this, a check is carried out whether there is no further event w not equal to the event v left in store (box 47). If that is so, a check is again carried out whether no event v is left in store (box 41) etc. If there is still an event w in store, said event w is chosen (box 48), whereupon a check is carried out whether the event w has occurred (box 49). If th event w has not occurred, a check is carried out whether a relationship between the vent v and the ev nt w implies that at least one of the two events v and w must occur (box 50), in which case the third submethod is terminated negatively (box 54). In the other case a check is carried out whether a r lationship between the event w and the event v implies that at least on of the two events v and w must occur (box 51). If that is so, the third submethod is t rminated negatively (box 54) and if that is not so, a check is again

carried out whether there is no further event w not equal to the event v left in store (box 47) etc. If the event w has indeed occurred, a check is carried out whether a relationship between the event v and the event w implies that, if the event w has occurred, the event v must likewise occur (box 52), in which case the third submethod is terminated negatively (box 54). In the other case, a check is carried out whether a relationship between the event w and the event v implies that, if the event w has occurred, the event v must likewise occur (box 53). If that is so, the third submethod is terminated negatively (box 54), and if that is not so, a check is again carried out whether there is no further event w not equal to the event v left in store (box 47), etc.

The third submethod should be called, in Figure 3, just before (above) box 32, and in Figure 2 just before (above) box 22, to ensure that monitoring for the completeness of sequences of allowed events is adequate. This prevents the second submethod depicted in Figure 2 and the method depicted in Figure 3 according to the invention from being applied for an unnecessarily long time. The third submethod therefore makes the method according to the invention more efficient.

Table 1 depicts all the possible relationships between the events a and b ( $R_{ab}$ ), and the conditions ( $R_{aa}$  and  $R_{bb}$ ) whether the occurrence of the events a and b is mandatory or not (M = Mandatory, O = Optional = non-mandatory).

	Tabel 1			<u> </u>
No	Set	Raa	R <sub>bb</sub>	Rab
1	l event occurs a or b	0	0	1
2	0 or 1 event occurs 0 or a or b	0	0	2
3 4	2 events occur (a,b) (a,b) or (b,a)	М	M M	3 4
5	0 or 2 events occur 0 or (a,b) 0 or (a,b) or (b,a)	0 0	0	5
7 8 9 10	1 or 2 events occur a or (a,b) a or (b,a) a or b or (a,b) a or (a,b) or (b,a) a or b or (a,b) or (b,a)	м м о м	00000	7 8 9 10
12 13 14 15 16	0,1 or 2 events occur 0 or a or (a,b) 0 or a or (b,a) 0 or a or b or (a,b) 0 or a or (a,b) or (b,a) 0 or a or b or (a,b) or (b,a)	0 0 0 0	0 0 0 0	12 13 14 15

Table 1 shows all the possible permutations between the events a and b. If precisely one event occurs (No. 1) this means that either the event a or the event b occurs. This is indicated by Set No. 1 "a or b" ( $R_{ab}$  = 1). If precisely two events occur (Nos. 3,4) this means that first the event a and then the event b occurs (indicated by Set No. 3 "(a,b)",  $R_{ab}$  = 3) or that first one of the two events occurs and then the other (indicated by Set No. 4 "(a,b) or (b,a)",  $R_{ab}$  = 4). H re, the third possible Set "(b,a)" seems to have been forgotten, but this can be obtained by interchanging the events a and b in S t "(a,b)" and is in fact indicated by set No. 3. Table 1 further shows in the case of which possible permutations the occurrence of the events a and b is mandatory (M) and when this is not so (Optional = 0), this relating to the conditions mentioned earlier concerning certain events. In the case of Set No. 1, both the events a and b are obviously

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not mandatory (Optional), because only one of the two is permitted to occur, and in the case of Sets Nos. 3 and 4, both events obviously are indeed mandatory (M) because both then must occur.

With reference to Table 1, the meaning of some of the boxes depicted in Figures 1 and 4 can be made clearer. The following boxes have the following meaning:

5	box	meaning
10	6	is $R_{xy} = 3$ , 5, 7 or 12? (does a relationship between
	•	the event x and the event y imply that the event y is
15		not permitted to occur before the event x has
		occurred?); this relates to the relationship of the first
		type
20		
	8	is $R_{xy} = 1$ , 2, 8 or 13? (does a relationship between
25		the event x and the event y imply that the event y is
		not permitted to occur after the event x has occurred?);
		this relates to the relationship of the second type
30		
	9	is $R_{yx} = 1, 2, 3, 5, 7, 9, 12$ or 14? (does a
35		relationship between the event $\mathbf{x}$ and the event $\mathbf{x}$ imply
		that the event x is not permitted to precede the event
40		y?); this relates to the relationship of the third type
40		
	45	is $R_{vv} = M$ ? (does a condition relating to the event $v$
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imply that the latter must occur?)

5	50	is $R_{vw} = 1$ , 9 or 11? (does a relationship between the
		event v and the event w imply that at least one of the
10		two events v and w must occur?); this relates to the
		relationship of the fourth type
15	51	is $R_{wv} = 1$ , 9 or 11? (does a relationship between the
		event w and the event v imply that at least one of the
20		two events v and w must occur?); this relates to the
		relationship of the fifth type
25	52	is $R_{vw} = 6$ , 13 or 15? (does a relationship between the
		event v and the event w imply that, if the event w has
30		occurred, the event v must likewise occur?); this
		relates to the relationship of the sixth type
05		·
35	53	is $R_{wv} = 5$ or 6? (does a relationship between the
		event w and the event v imply that, if the event w has
40		occurred, the event v must likewise occur?); this
		relates to the relationship of the seventh type

An algorithm corresponding to the method according to the invention could, if written in a kind of BASIC language, look as follows:

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METHOD (E)
              for each event k ∈ E
                     do occurred(k) := false
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               if THIRD SUBMETHOD
                     then print sequence(k)
10
               for each event k ∈ E
                     do if FIRST SUBMETHOD(k)
                         then parent(k) := NIL
15
                                  SECOND SUBMETHOD(k)
             FIST SUBMETHOD(m)
20
               for each event k \in E \setminus \{m\}
                     do if [occurred(k) = false and R_{km} \in \{3,5,7,12\}]
25
                         then return (false)
                       if [occurred(k) = true and (R_{km} \in \{1,2,8,13\} or
                                                         R_{mk} \in \{1,2,3,5,7,9,12,14\}
30
                         then return (false)
               return (true)
35
              SECOND SUBMETHOD(k)
               occurred(k) := true
40
               if THIRD SUBMETHOD
                      then print sequence(k)
               for each event m ∈ E
45 .
                      do if occurred(m) = false and FIRST SUBMETHOD(m)
                          then parent(m) := k
                                   SECOND SUBMETHOD(m)
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occurred(k) := false

### THIRD SUBMETHOD

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for each event k ∈ E

do if occurred(k) = false

then if  $R_{kk} = M$ 

then return false

for each event  $m \in E \setminus \{k\}$ 

do if [occurred(m) = false and  $(R_{km} \epsilon)$ 

 $\{1,9,11\}$  or  $R_{mk} \in \{1,9,11\}$ 

then return (false)

if  $[occurred(m) = true and (R_{km} \epsilon)]$ 

 $\{6,13,15\}$  or  $R_{mk} \in \{5,6\}$ )

then return (false)

return (true)

With reference to the algorithm written in a kind of BASIC language, a test of the following protocol will be worked out as an example, using three events r, s and t for which the following holds good:

- 35 R<sub>rr</sub> = M (i.e. the condition concerning the event r specifies that the occurrence of event r is mandatory.
  - R<sub>ss</sub> = O (i.e. the condition concerning the event s specifies that the occurrence of event s is not mandatory.
  - R<sub>tt</sub> = M (i.e. the condition concerning the event t specifies that the occurrence of event t is mandatory.
  - R<sub>rs</sub> = 10 (i.e. Set No. 10 "r or (r,s) or (s,r)", in other words the event r will certainly occur, possibly in combination with the event s, the sequential order being unimportant.
  - R<sub>rt</sub> = 3 (i.e. Set No. 3 "(r,t)", in other words the events r and t both occur, in this sequential order as mentioned.
  - R<sub>ts</sub> = 8 (i.e. Set No. 8 "t or (s,t)", in other words the event t will certainly occur, possibly in combination with the event s, and if the event s does occur, this happens prior to the occurrence of the event t.

The method according to the invention first of all defines all the events r, s and t as not occurred (for each event  $k \in E$  do occurred(k): = false). The third submethod is then applied (if THIRD SUBMETHOD then print sequence(k)), which, because the occurrence of the events r and t is mandatory ( $R_{rr} = R_{tt} = M$ ) but the events have still not occurred, indicates that the sequence of allowed events (at this moment comprising no events) is not yet complete (for each event  $k \in E$  do if occurred(k) = false then if  $R_{kk} = M$  then return false). Hereafter, the first submethod is applied to the event r (for each event  $k \in E$  do if FIRST SUBMETHOD(k)). Because the vents s and t have not yet occurred and the following does not hold good:  $R_{sr}$  or  $R_{tr} \in \{3,5,7,12\}$ , it is found that the event r is permitted to occur as the first event (do if [occurred(k)] = false and  $R_{km} \in \{3,5,7,12\}$ ] then r turn (false), otherwise return (true)), and the second submethod is applied to the event r (the par nt of r is nil) in order to find a subsequent allowed vent (then parent(k)): = NIL, SECOND SUBMETHOD (k)).

According to the second submethod, first of all the event r is defined as being an event which has occurred (occurred(k): = true), and the third submethod is applied (if THIRD SUBMETHOD then print sequence(k)), which, because the occurrence of the event t is mandatory ( $R_{tt} = M$ ) but the event has not yet occurred, indicates that the sequence of allowed events (at this moment consisting of the event r) is not yet complete (for each event k  $\epsilon$  E do if occurred(k) = false then if  $R_{kk} = M$  then return false). The first submethod is then applied to the event s, using the events s and t as events which have not occurred and the event r as an event which has occurred (for each event m  $\epsilon$  E do if occurred (m) = false and FIRST SUBMETHOD(m)). Because the event r has occurred and  $R_{rs} = 10$  and  $R_{sr} = undefined$  and the event t has not occurred and  $R_{ts} = 8$  it is found that the event s is permitted to occur as the second event after the first event r (do if [occurred(k) = false and  $R_{km}$   $\epsilon$  {3,5,7,12}] then return (false), if [occurred(k) = true and ( $R_{km}$   $\epsilon$  {1,2,8,13} or  $R_{mk}$   $\epsilon$  {1,2,3,5,7,9,12,14})] then return (false), otherwise return (true)), and the second submothod is applied to the event s (the parent of s is r) in order to find a subsequent allowed event (then parent(m): = k, SECOND SUBMETHOD(m)).

According to the second submethod, first of all the event s is defined as being an event which has occurred (occurred(k): = true), and the third submethod is applied (if THIRD SUBMETHOD then print sequence(k)), which, because the occurrence of the event t is mandatory ( $R_{tt} = M$ ) but the event has not yet occurred, indicates that the sequence of allowed events (at this moment consisting of the events r and s) is not yet complete (for each event k  $\epsilon$  E do if occurred(k) = false then if  $R_{hk} = M$  then return false). The first submethod is then applied to the event t, using the event t as events which have not occurred and the events r and s as events which have occurred (for each event m  $\epsilon$  E do if occurred (m) = false and FIRST SUBMETHOD(m)). Because the events r and s have occurred and  $R_{rt} = 3$  and  $R_{ts} = 8$  it is found that the event t is permitted to occur as the third event after the first event r and the second event s (do if [occurred(k) = true and ( $R_{km}$   $\epsilon$  {1.2.8.13} or  $R_{mk}$   $\epsilon$  {1.2.3.5.7.9.12.14})] then return (false), otherwise return (true)), and the second submethod is applied to the event t (the parent of t is s) in order to find a subsequent allowed event (then parent(m): = k, SECOND SUBMETHOD(m)).

According to the second submethed, first of all the event t is defined as being an event which has occurred (occurred(k): = true), and the third submethed is applied (if THIRD SUBMETHOD then print sequence (k)), which, because all events r, s and t have now occurred, indicates that the sequence of allowed events, (at this moment consisting of the events r, s and t) is complete, which sequential order of events is recorded (then print sequence (k)).

The first submethod is then applied to the event t, using the events s and t as events which have not occurred and the event r as an event which has occurred (for each event m  $_{\ell}$  E do if occurred (m) = false and FIRST SUBMETHOD(m)). Because the event r has occurred and  $R_{rt}=3$  and  $R_{tr}=1$  undefined and the event s has not occurred and  $R_{st}=1$  undefined it is found that the event t is permitted to occur as the second event after the first event r (do if [occurred(k) = false and  $R_{km}$   $_{\ell}$  {3,5,7,12}] then return (false), if [occurred(k) = true and ( $R_{km}$   $_{\ell}$  {1,2,8,13} or  $R_{mk}$   $_{\ell}$  {1,2,3,5,7,9,12,14})] then return (false), otherwise return (true)), and the second submethod is applied to the event t (the parent of t is r) in order to find a subsequent allowed event (then parent(m): = k, SECOND SUBMETHOD(m)).

According to the second submethod, first of all the event t is defined as being an event which has occurred (occurred(k): = true), and the third submethod is applied (if THIRD SUBMETHOD then print sequence(k)), which, because the occurrence of the events r and t is mandatory ( $R_{rr} = R_{tt} = M$ ) and the events have occurred, and because the event s has not occurred while the following holds good:  $R_{rs} = 10$ ,  $R_{rt} = 3$  and  $R_{ts} = 8$ , indicates that the sequence of allowed events (at this moment consisting of the events r and t) is complete, which sequential order of events is recorded (then print sequence(k)).

Thereafter, the first submethod is applied to the event s (for each event k  $\epsilon$  E do if FIRST SUBMETHOD(k)). Because the events r and t have not yet occurred (the second submethod terminates with the redefinition of the event in question as an event which has not occurred, occurred(k): = false) and the following does not hold good:  $R_{rs}$  or  $R_{ts}$   $\epsilon$  {3,5,7,12}, it is found that the event s is permitted to occur as the first event (do if [occurred(k) = false and  $R_{km}$   $\epsilon$  {3,5,7,12}] then return (false), otherwise return (true)), and the second submethod is applied to the event s (the parent of s is nil) in order to find a subsequent allowed event (then parent(k): = NIL, SECOND SUBMETHOD(k)). According to the second submethod, first of all the event s is defined as being an event which has occurred (occurred(k): = true), and the third submethod is applied (if THIRD SUBMETHOD then print sequence(k)), which, because the occurrence of the event s r and t is mandatory ( $R_{rr} = R_{tt} = M$ ) but the event has not yet occurred, indicates that the sequence of allowed events (at this moment consisting of the event s) is not yet complete (for each event k  $\epsilon$  E do if occurred(k) = false then if  $R_{kk} = M$  then return false). The first submethod is then applied to the event r, using the events r and t as events which have not occurred and the vent s as an vent which has occurred (for each event m  $\epsilon$  E do if occurred (m) = false and FIRST SUBMETHOD(m)). Because the event

s has occurred and  $R_{rs}=10$  and  $R_{sr}=10$  undefined and the event t has not occurred and  $R_{ts}=8$  it is found that the event r is permitted to occur as the second event after the first event s (do if {occurred(k) = false and  $R_{km}$   $\epsilon$  {3.5.7.12}] then return (false), if [occurred(k) = true and ( $R_{km}$   $\epsilon$  {1.2.8.13} or  $R_{mk}$   $\epsilon$  {1.2.3.5.7.9.12.14})] then return (false), otherwise return (true)), and the second submethod is applied to the event r (the parent of r is s) in order to find a subsequent allowed event (then parent(m): = k, SECOND SUBMETHOD(m)).

According to the second submethod, first of all the event r is defined as being an event which has occurred (occurred(k): = true), and the third submethod is applied (if THIRD SUBMETHOD then print sequence(k)), which, because the occurrence of the event t is mandatory ( $R_{tt} = M$ ) but the event has not yet occurred, indicates that the sequence of allowed events (at this moment consisting of the events s and r) is not yet complete (for each event k  $\epsilon$  E do if occurred(k) = false then if  $R_{kk} = M$  then return false). The first submethod is then applied to the event t, using the event t as events which have not occurred and the events s and r as events which have occurred (for each event m  $\epsilon$  E do if occurred (m) = false and FIRST SUBMETHOD(m)). Because the events s and r have occurred and  $R_{rt} = 3$  and  $R_{tr} = undefined$  and  $R_{st} = 4$  it is found that the event t is permitted to occur as the third event after the first event s and the second event r (do if [occurred(k) = true and ( $R_{km}$   $\epsilon$  {1,2,3,13} or  $R_{mk}$   $\epsilon$  {1,2,3,5,7,9,12,14})] then return (false), otherwise return (true)), and the second submethod is applied to the event t (the parent of t is r) in order to find a subsequent allowed event (then parent(m): = k, SECOND SUBMETHOD(m)).

According to the second submethod, first of all the event t is defined as being an event which has occurred (occurred(k): = true), and the third submethod is applied (if THIRD SUBMETHOD then print sequence (k)), which, because all events s, r and t have now occurred, indicates that the sequence of allowed events (at this moment consisting of the events s, r and t) is complete, which sequential order of events is recorded (then print sequence (k)).

The first submethod is then applied to the event t, with the events r and t as events which have not occurred and the event s as an event which has occurred (for each event m  $\epsilon$  E do if occurred(m) = false and FIRST SUBMETHOD(m)). Because the event r has not occurred and  $R_{rt} = 3$  it is found that the event t is NOT permitted as the second event after the first event s (do if [occurred(k) = false and  $R_{km}$   $\epsilon$  {3,5,7,12}] then return (false), otherwise return (true)) and the second submethod is NOT proceeded with.

Thereafter, the first submethod is applied to the event t (for each event k  $\epsilon$  E do if FIRST SUBMETHOD(k)). Because the events r and s have not yet occurred (the second submethod terminates with the redefinition of the event in question as an event which has not occurred, occurred(k): = false) and  $R_{rt}$   $\epsilon$  {3,5,7,12} holds good, it is found that the event t is NOT permitted to occur as the first event (do if [occurred(k) = false and  $R_{km}$   $\epsilon$  {3,5,7,12}] then return (false), otherwise return (true)) and the second submethod is NOT proceeded with.

Thus the algorithm is used to find three possible sequences of allowed events for the protocol mentioned: (r,s,t), (r,t) and (s,r,t). Based on the conditions and relationships associated with said protocol, these three sequences can be derived in a simple manner in one's head. If the protocol becomes more complex, however, (for example containing ten events with ten conditions and fifteen relationships), such sequences can no longer be derived from one's head and/or by writing them out and the algorithm (the method according to the invention) provides the valid solution in an efficient manner.

An algorithm of this type can be stored as software in a PC in a manner which is straightforward to those skilled in the art. The system for application of the method is indeed, in the first instance, meant to refer to a PC or microprocessing means. The means for storing event codes, status codes, relationship codes, combination codes and occurrence codes are then formed by the memory of the PC, and the associated microprocessor is designed for performing analyses of first, second and third types (corresponding to the first, second and third submethod) by means of instructions stored as software which call up, in a particular sequential order, the contents of the means, possibly change and compare them and again store them changed or unchanged.

It would, however, also be possible to implement the system specially for application of this method according to the invention, the means for storing (memory ICs) being coupled to a computing device via which the contents of the means are loaded, whereupon the computing device interrogates these contents in a particular sequential order, possibly changes and compares them and then stores them again changed or unchanged.

### 55 Claims

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 Method for testing a protocol in which events occur which have defined relationships with one another, characterised in that

- the method comprises a first submethod for determining whether an event y is permitted to occur by
  - checking, if a further event x has not occurred, whether a relationship between the event x and the event y implies that the event y is not permitted to occur before the event x has occurred, in which case the combination is not allowed,
  - checking, if a further event x has occurred, whether a relationship between the event x and the event y implies that the event y is not permitted to occur after the event x has occurred and whether a relationship between the event y and the event x implies that the event x is not permitted to precede the event y, in which cases the combination is not allowed,
  - establishing, in all other cases, that the combination is allowed and repeating the first submethod in order to determine whether the event y is permitted to occur in combination with another further event,
- the method comprises a second submethod for finding a subsequent allowed event q in the case of an event p by
  - defining the event p as being an event which has occurred and appending it to a sequence of allowed events which have occurred,
  - repeating, if the event q has already occurred, the second submethod in order to find another subsequent allowed event,
  - checking, if the event q has not occurred, by applying the first submethod whether the event q is permitted to occur in combination with the event p, and by
    - appending, in the case of an allowed combination, the event q to a sequence of allowed events which have occurred and repeating the second submethod in order to find a subsequent allowed event in the case of the event q.
    - repeating, in the case of a non-allowed combination, the second submethod in order to find another subsequent allowed event in the case of the event p, and
  - redefining the event p as being an event which has not occurred and removing it from the sequence of allowed events which have occurred,
- the method finds all possible sequences of allowed events by
  - applying the first submethod to every possible first event, and
  - applying the second submethod to all possible first events found.
- Method according to Claim 1, characterised in that the method comprises a third submethod for determining whether a sequence of allowed events is complete, a condition specifying, of each event, whether the occurrence of this event is or is not mandatory, by
  - checking, if an arbitrarily chosen event v has not occurred, whether a condition relating to the event v implies that the event v must occur, in which case the sequence is not complete, and in the other case, if the arbitrarily chosen event v has not occurred, by
    - checking, if a further event w has not occurred, whether a relationship between the event v and the event w and a relationship between the event w and the event v imply that at least one of the two events v and w must occur, in which case the sequence is not complete,
    - checking, if the further event w has occurred, whether a relationship between the event v and the event w and a relationship between the event w and the event v imply that, if the event w has occurred, the event v must occur likewise, in which case the sequence is not complete, and
    - repeating the third submethod with another further event, and
  - repeating, if the arbitrarily chosen event v has occurred, the third submethod with another arbitrarily chosen event in order to determine whether a sequence of allowed events is complete.
- 3. System for applying the method according to Claim 1, characterised in that the system is provided with means for storing
  - at least one event code which is representative for an event which may occur,
  - at least one status code which is representative for a status of this event,
  - at least one relationship code which is representative for a relationship between this event and another event, and
  - at least one combination code which is representative for the allowedness of a combination of vents,

in which the system, for determining whether an vent y is permitted to occur, is designed for an analysis of a first type by

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- disallowing, in the case of a status code related to a further event x in one sense and a relationship code related to the events x and y of a first type, the combination and assigning a negative combination code,
- disallowing, in the case of a status code related to a further event x in another sense and a relationship code related to the events x and y of a second type and a relationship code related to the events y and x of a third type, the combination and assigning a negative combination code,
- allowing, in all other cases, the combination and assigning a positive combination code and repeating the analysis of a first type for determining whether the event y is permitted to occur in combination with another further event,

in which the system, for finding a subsequent allowed event q in the case of an event p, is designed for an analysis of a second type by

- assigning a status code in another sense to the event p and appending the event p to a sequence
  of allowed events which have occurred,
- repeating, in the case of a status code in another sense related to event q, the analysis of a second type for finding another subsequent allowed event,
- performing, in the case of a status code in one sense related to event q, an analysis of a first type for determining whether the event q is permitted to occur in combination with the event p and by
  - appending, in the case of a positive combination code, the event q to a sequence of allowed events which have occurred and performing an analysis of a second type for finding a subsequent allowed event in the case of the event q, and
  - performing, in the case of a negative combination code, an analysis of a second type for finding another subsequent allowed event in the case of the event p.
- assigning a status code in one sense to the event p and removing the event p from a sequence
  of allowed events which have occurred.

in which the system, for finding all possible sequences of allowed events, is designed for

- performing an analysis of a first type for every possible first event,
- performing an analysis of a second type for all possible first events found.
- 4. System according to Claim 3, characterised in that the system is provided with means for storing at least one occurrence code which is representative for the mandatory occurrence of an event, which system, for determining whether a sequence of allowed events is complete, is designed for an analysis of a third type by
  - establishing, in the case of a status code, related to an arbitrarily chosen event v, in one sense
    and a positive occurrence code related to the arbitrarily chosen event v, that a sequence is
    incomplete and by, in the case of a negative occurrence code related to the arbitrarily chosen
    event v and of a status code, related to the arbitrarily chosen event v, in one sense
    - establishing, in the case of a status code, related to a further event w, in one sense and a relationship code, related to the events v and w, of a fourth type and a relationship code, related to the events w and v, of a fifth type, that a sequence is incomplete,
    - establishing, in the case of a status code, related to a further event w, in another sense and a relationship code, related to the events v and w, of a sixth type and a relationship code, related to the events w and v, of a seventh type, that a sequence is incomplete,
    - subsequently performing an analysis of a third type with another further event w, and
  - performing, in the case of a status code, related to an arbitrarily chosen event v, in another sense, an analysis of a third type with another arbitrarily chosen event to determine whether a sequence of allowed events is complete.

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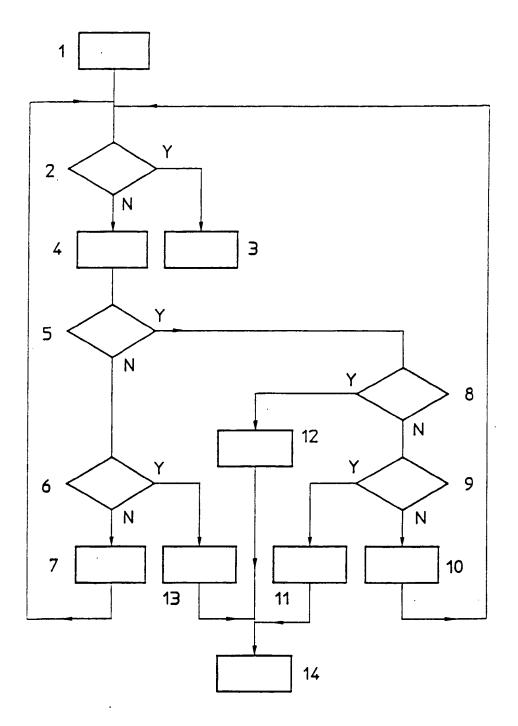


Fig. 1

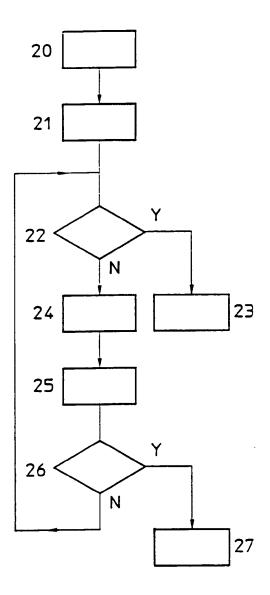


Fig. 2

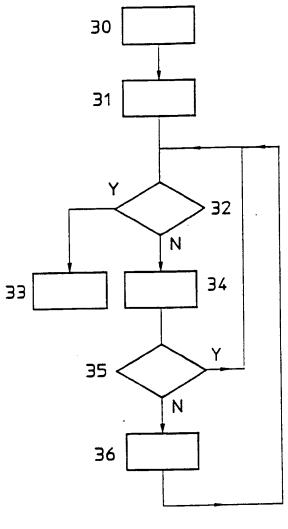


Fig. 3

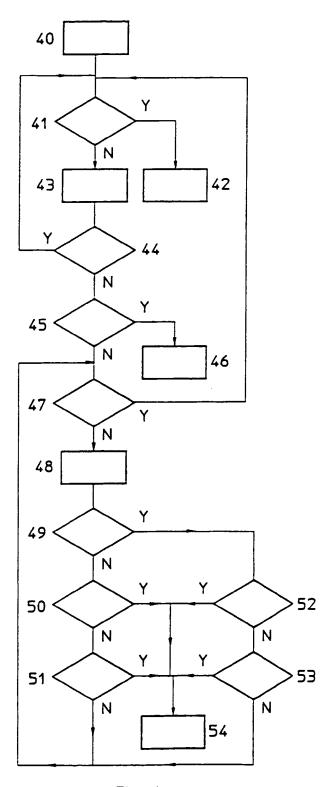


Fig. 4

# **EUROPEAN SEARCH REPORT**

Application Number

EP 93 20 2104

	DOCUMENTS CONSI	DERED TO BE RELEVAN	Γ	
Category	Citation of document with in of relevant pas	dication, where appropriate,	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl.5)
•	vol. 1, no. 6.5, No. ATLANTA, US; pages 157 - 163 KUROSAWA ET ALL 'A NO. VALIDATION METHOD FO PROTOCOLS - A PROPOSTATE DIAGRAM MIXED	vember 1984, NEW SPECIFICATION AND OR COMMUNICATION	1	H04L29/06 H04L1/00
	COMPUTER COMMUNICAT vol. 19, no. 4, Sep NEW-YORK,US; pages 283 - 294 CHAN ET AL 'AN IMPRI GENERATION PROCEDUR! * page 157, left co *	tember 1989,  OVED PROTOCOL TEST	1	TECHNICAL FIELDS SEARCHED (Int. Cl.5)
	The present search report has h	een drawn up for all claims		
Place of search THE HAGUE		Date of completion of the search O1 OCTOBER 1993		Examiner BISCHOF J.L.A.
X:px Y:pa do A:tec O:no	CATEGORY OF CITED DOCUME rticularly relevant if taken alone rticularly relevant if combined with an cument of the same category chnological background www.written disclosure termediate document	e: earner parent ou after the filing d other D: document cited L: document cited (	cument, but pul late in the application for other reasons	olished on, or